

January 13, 2021

To: Medical School Executive Committee
Strategic Leadership Council

From: Paul G. Ramsey, M.D.



Dear Colleagues:

Pursuant to the delegation of authority from the University President, I have authority for the operation and management of UW Medicine. In my role as Chief Executive Officer, UW Medicine, and Executive Vice President for Medical Affairs and Dean of the School of Medicine (CEO/EVPMA/Dean), I have delegated to other UW Medicine officials some of my authority to sign documents necessary to fulfill our mission. The enclosed Delegations of Authority for State Entities of UW Medicine (effective January 13, 2021) describes these delegations.

Please distribute this communication to your staff and distribute further as needed. If you have any questions, please contact Ana Anderson, UW Medicine Director of Business Affairs at aanders@uw.edu or (206) 543-0746.

Enclosure

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Delegations of Authority

DELEGATIONS OF AUTHORITY FOR STATE ENTITIES OF UW MEDICINE Effective October 1, 2014; Revised June 1, 2017; Revised January 13, 2021

Pursuant to the authority of the University of Washington (“UW”) President, the Chief Executive Officer, UW Medicine, and Executive Vice President for Medical Affairs and Dean of the School of Medicine, University of Washington (CEO/EVPMA/Dean) has been delegated authority for the operation and management of UW Medicine as described in the Standing Orders of the Board of Regents, the Executive Orders of the UW President, the Administrative Orders of the UW President and individual delegations from the UW President, unless such authority has been reserved to another University officer. The CEO/EVPMA/Dean may further delegate this authority; however, any authority delegated by the CEO/EVPMA/Dean shall always be subject to the ultimate authority of the CEO/EVPMA/Dean.

This document describes the delegations of authority from the CEO/EVPMA/Dean within the state components of UW Medicine: UW Medical Center, Harborview Medical Center (operated pursuant to a Hospital Services Agreement with King County), Airlift Northwest and the School of Medicine. This document does not address the non-state components of UW Medicine (Valley Medical Center, UW Physicians and UW Neighborhood Clinics) or the University’s membership in Children’s University Medical Group, the Seattle Cancer Care Alliance, PNWCIN LLC d/b/a Embright, UW Medicine Choice Care and the RCCH/LifePoint-UW Medicine joint venture. The authority of the CEO/EVPMA/Dean with regard to each of the above non-state component entities is determined by the governance documents establishing the relationship and pursuant to the delegations of authority from the UW President to the CEO/EVPMA/Dean.

Additional Notes:

- Unless expressly limited, the delegations of authority from the CEO/EVPMA/Dean set forth below shall be in full. Despite this, any individual that sub-delegates authority to sign retains that authority as well.
- Where authority is described as “joint” below, both individuals with delegated authority shall sign.
- If and when a unit has sub-delegated further than what is outlined below, such delegations shall be in writing and consistent with the delegations outlined herein. In addition, those documented sub-delegations (and updates thereto) shall be provided to the UW Medicine Director of Business Affairs.
- Where reporting to the Regents is required, please notify the UW Medicine Chief of Staff.
- If an individual with delegated authority to sign is not the same as the individual with budget approval authority for activity, confirm budget approval prior to signature.

Delegations of Authority

[Delegations Reserved by the CEO/EVPMA/Dean](#)

[Delegations to President, UW Medicine Hospitals & Clinics/Vice President for Medical Affairs, UW \(“PH&C”\)](#)

[Delegations to UW Medicine Chief Financial Officer/Vice President for Medical Affairs, UW \(“CFO”\)](#)

[Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW \(“CBO”\)](#)

[Delegations to UW Medicine Chief Medical Officer/Vice President for Medical Affairs, UW \(“CMO”\)](#)

[Delegations to UW Medicine Chief Advancement Officer/Vice President for Medical Affairs, UW \(“CAO”\)](#)

[Delegations to Vice Deans & Others](#)

Delegations of Authority

#	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
1	Delegations Reserved by CEO/EVPMA/Dean					
1.1	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate the CBO, the CFO, the CMO, the CAO, the PH&C, the Chief Marketing and Communication Officer, and the Chief Compliance Officer.	None	None	None	None	Note: Vice Dean for Administration and Finance provides human resources support to CEO/EVPMA/Dean.
1.2	Instruments designating space assigned to UW Medicine as clinical, school, administrative or other.	None	None	None	None	For space designated by CEO/EVPMA/Dean as clinical, see delegation under 2.11. For space designated by CEO/EVPMA/Dean as School, see delegation under 7.12.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
2	Delegations to President, UW Medicine Hospitals & Clinics/Vice President for Medical Affairs, UW (“PH&C”)					
2.1	Instruments for the delivery of hospital, clinic and air ambulance services that are less than \$15M when such authority is not delegated to another University official or another VPMA. Instruments between \$5M and less than \$15M must be reported to Regents no less than quarterly.	PH&C	Chief Executive Officers for HMC and UWMC and Executive Director of Airlift	None	None	Note: Third party payer reimbursement contracts are within the CFO’s delegation.
2.2	Instruments related to the integration of clinical care activities with external entities that are less than \$15M when such authority is not delegated to another University official or another VPMA. Instruments between \$5M and less than \$15M must be reported to Regents no less than quarterly.	PH&C	Associate Vice President for Clinical Operations (<\$500,000)		None	If the agreement is an affiliation agreement that relates to the integration of clinical care, the authority to sign is joint with the CBO. If the arrangement involves deployment of School practitioner services, the authority to sign is with the Department Chair and the Vice Dean for Administration and Finance.
2.3	Instruments related to shared services that report to the PH&C (for example, contact/transfer center and population health).	PH&C	Associate Vice President for Clinical Operations for instruments related to shared services that report into the AVP for Clinical Operations (<\$500,000)	None	None	
2.4	Non-purchasing instruments related to UW Medicine IT Services that are not otherwise delegated to another University official.	PH&C	Chief Information Officer	None	None	Example: Agreements related to inter-affiliate software uses and information exchanges.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
2	Delegations to President, UW Medicine Hospitals & Clinics/Vice President for Medical Affairs, UW ("PH&C")					
2.5	Instruments related to capital construction programs for hospitals and clinics under \$15M not otherwise delegated to another University official. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	PH&C	Chief Executive Officers for HMC and UWMC and Executive Director of Airlift	None	None	
2.6	Instruments related to procurement of HMC's utility services for hospitals and clinics.	PH&C	HMC CEO	None	None	
2.7	Instruments for School practitioner funding from HMC, UWMC and Airlift.	PH&C	Chief Executive Officers for HMC and UWMC and Executive Director of Airlift	None	None	Clinical Department Funding master agreement joint with Vice Dean for Administration and Finance. Clinical Department Funding yearly agreements joint with Department Chair and Vice Dean for Administration and Finance.
2.8	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW classified or professional non-academic employee in the business units that report to the PH&C, including: Airlift, UW Medical Center, Harborview Medical Center; Medcon/Transfer Center/Contact Center/Population Health; UW Medicine IT Services; Coulter Service Excellence Program; Heart Institute and UW Medicine Pharmacy.	PH&C (except for the PH&C individually)	Chief Executive Officers for HMC and UWMC and Executive Director of Airlift subject to concurrence of PH&C for layoffs and terminations	For UWMC and HMC, medical centers HR subject to the concurrence of PH&C for layoffs and terminations	None	Note: Authority for the same category of employees at UWMC and HMC are delegated directly from the UW President to hospital CEOs. Note: Authority to place on home assignment resides with the UW Vice President for Human Resources (HR).

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
2	Delegations to President, UW Medicine Hospitals & Clinics/Vice President for Medical Affairs, UW ("PH&C")					
2.9	Instruments related to UW Medicine privacy obligations related to protected health information of applicable UW Medicine entities not associated with an instrument for which the authority is delegated to another University official.	PH&C	Senior Director for Enterprise Records & Health Information for Data Use Agreements involving the sharing of UW Medicine clinical data for research purposes (including agreements with commercial entities)	None	None	Authority to sign all Business Associate Agreements is with the UW Medicine Chief Compliance Officer/HIPAA Privacy Official. [Note: Authority delegated pursuant to UW policy]
2.10	Instruments related to UW Medicine security obligations related to protected health information of applicable UW Medicine entities not associated with an instrument for which the authority is delegated to another University official.	PH&C	UW Medicine Chief Information Officer	UW Medicine Chief Information Security Officer	None	Note: Authority delegated pursuant to UW Policy.
2.11	Instruments for assignment of clinical space once designated as clinical space by CEO/EVPMA/Dean.	PH&C				
2.12	Instruments for real estate (e.g., leases) related to space for units that report to the PH&C under \$15M not otherwise delegated to another University official. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	PH&C				
2.13	Instruments for a UW Medicine Program Master Telehealth Services Agreement.	PH&C	Associate Vice President for Clinical Operations			Authority joint with CBO and Vice Dean for Administration and Finance.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
3	Delegations to UW Medicine Chief Financial Officer/Vice President for Medical Affairs, UW (“CFO”)					
3.1	Instruments relating to the acquisition of goods and services, including group purchasing organization procurement, for HMC, UWMC and Airlift activities (such as patient-related goods and services, pharmaceuticals, supplies, media, equipment), where the anticipated cost or value to the University is less than \$15 million. Instruments between \$5 million and less than \$15 million, must be reported to the Regents no less often than quarterly.	CFO and PH&C (Joint)	For CFO, Chief Supply Chain Officer (<\$500,000) For PH&C, Associate Vice President for Clinical Operations (<\$500,000)	System Director, Strategic Sourcing (<\$100,000)	Manager of Contracting (<\$50,000)	Note: Authority for the authorization of IT acquisitions resides with the VP for UW Information Technology. Note: Authority for certain acquisitions of goods and services are delegated to another University official pursuant to an agreed-upon transition plan. Note: Approval of the HMC Board of Trustees is required for selection, maintenance and utilization of medical equipment valued above \$250,000.
3.2	Instruments relating to UW Medicine payer contracting and payer relations, including value-based purchasing contracts for the UW Medicine Accountable Care Network, including associated subcontracts and vendor agreements, unless the authority is delegated to another University official.	CFO	Chief Contracting and Payer Relations Officer for all clinical entities except ALNW Executive Director for ALNW for ALNW services			Note: Authority for all single case negotiations and recoveries for all clinical entities, including ALNW, resides with the Chief Contracting and Payer Relations Officer. Note: If neither the Executive Director for ALNW nor the CFO is available, then the Chief Contracting and Payer Relations Officer has delegated authority for ALNW services.
3.3	Instruments related to financial write offs for the Medicare, Medicaid, and SCHIP Extension Act (MMSEA) program.	CFO	Director of Health Sciences and UW Medicine Clinical Risk Management	None	None	

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
3	Delegations to UW Medicine Chief Financial Officer/Vice President for Medical Affairs, UW ("CFO")					
3.4	Instruments related to compliance with the 340B program.	CFO	Enterprise Finance Officer, AVP, UW Medicine	None	None	
3.5	Instruments related to government financial reimbursement programs (for example Medicare, Medicaid, cost reporting) and general financial instruments not otherwise delegated to another University Official.	CFO	Enterprise Finance Officer, AVP, UW Medicine	None	None	
3.6	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the following business units that report to the CFO, including: UW Medicine Government Financial Relations and Reimbursement; UW Medicine Contracting and Payer Relations; UW Medicine Supply Chain; UW Medicine Enterprise Records & Health Information; UW Medicine Revenue Cycle Operations; and UW Medicine Accounting and Finance.	CFO (except for the CFO individually)	UW Medical Centers HR subject to the concurrence of the CFO for layoffs and terminations	None	None	Note: Authority to place on home assignments resides with the UW Vice President for HR.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
4	Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW (“CBO”)					
4.1	Instruments for School practitioner funding with UW Medicine component entities (including VMC) and Seattle Cancer Care Alliance.	CBO and PH&C (Joint)	For CBO, Vice Dean for Administration and Finance For PH&C, for instruments not otherwise covered by Section 2.7, Associate Vice President for Clinical Operations	Associate Dean for Administration and Finance	SoM Chief Financial Officer	Note: See PH&C delegation for further delegations for hospitals and clinics.
4.2	Instruments for UW Medicine affiliation agreements that are less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	None	None	None	If the affiliation agreement is related to the integration of clinical care, the authority to sign is joint with the PH&C.
4.3	UW Medicine business instruments, including non-disclosure agreements, less than \$15M and not otherwise delegated to another University official or other VPMA. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	None	None	None	
4.4	Instruments related to capital construction programs for the School of Medicine (SoM) not otherwise delegated to a University official.	CBO	None	None	None	
4.5	Instruments providing notice of material healthcare transactions pursuant to Ch. 19.390 RCW.	CBO	Clinical Business and Regulatory Affairs Officer			

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
4	Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW (“CBO”)					
4.6	Instruments related to legal representation by Special Assistant Attorneys General (“SAAG”), including general business instruments associated with that legal representation.	CBO	Clinical Business and Regulatory Affairs Officer	For instruments for SAAG legal services, UW Medicine Director of Business Affairs		For instruments for SAAG legal services, authority is joint with the Vice President for Medical Affairs with authority over the substantive area for which legal advice is sought.
4.7	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the following business units that report to the CBO, including: School of Medicine, UW Medicine Government Relations, UW Medicine Clinical Business Affairs and UW Medicine Faculty Business Planning. In addition, the CBO has oversight for EVPMA Executive Staff.	CBO (except for the CBO individually)	Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance for all business units	SoM Chief Financial Officer for all business units	SoM Director of Business and Operations Management for all business units	Note: Authority to place on home assignment resides with the UW Vice President of HR.
4.8	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the UW Medicine Compliance unit.	CBO	Clinical Business and Regulatory Affairs Officer, AVP, UW Medicine	Chief Compliance Officer (except for the Chief Compliance Officer individually)	UW Medical Centers HR (excluding the Chief Compliance Officer)	Note: Authority to place on home assignment resides with the UW Vice President of HR.

Delegations of Authority

4	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
4	Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW (“CBO”)					
4.9	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the UW Medicine Faculty Practice Plan (FPPS) Services unit.	CBO	Vice Dean for Administration and Finance For UWP Executive Director, Vice Dean for Administration and Finance and UWP President (Joint)	UWP Executive Director (except for the UWP Executive Director individually)	UW Medical Centers HR (excluding the UWP Executive Director)	Note: Authority to place on home assignment resides with the UW Vice President of HR.
4.10	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the UW Medicine Laboratory Outreach Services Unit.	CBO	Authority for all staff, except the director, is delegated to UW Medical Centers HR Authority for the director is delegated to the Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance	For the director, the Associate Dean for Administration and Finance delegates to the SoM Chief Financial Officer	For the director, the SoM Chief Financial Officer delegates to the SoM Director of Business and Operations Management	Note: Authority to place on home assignment resides with the UW Vice President of HR.
4.11	Instruments related to the authority to reduce, demote, layoff, separate or terminate, or reassign exempt departmental administrators or directors.	CBO	Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance	SoM Chief Financial Officer	SoM Director of Business and Operations Management	Note: Authority to place on home assignment resides with the UW Vice President of HR.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
4	Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW (“CBO”)					
4.12	Instruments under \$15M related to real estate for UW Medicine that are not delegated to another University official. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	None	None	None	
4.13	Instruments for UW Medicine Lab and Pathology Outreach Services.	CBO	Vice Dean for Administration and Finance	Associate Dean for Administration and Finance	None	
4.14	Instruments for School affiliation less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	Vice Dean for Administration and Finance	Associate Dean for Administration and Finance	None	
4.15	Instruments between School academic departments for faculty funding entities other than VMC, UWMC or HMC for less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	Vice Dean for Administration and Finance	Associate Dean for Administration and Finance	SoM Chief Financial Officer	
4.16	Instruments for School financial, business and administrative affairs, including capital construction under \$15M and all other instruments not specifically identified. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CBO	Vice Dean for Administration and Finance	Associate Dean for Administration and Finance	SoM Chief Financial Officer	
4.17	Instruments for School Faculty Human Resources not delegated to another University official.	CBO	Vice Dean for Administration and Finance	Associate Dean for Administration and Finance	SoM Chief Financial Officer	

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
4	Delegations to UW Medicine Chief Business Officer/Vice President for Medical Affairs, UW (“CBO”)					
4.18	Instruments for School Outside Work Approval and fair market value for SoM.	CBO	Vice Dean for Administration and Finance	Senior Director for Business and Regulatory Affairs who further delegates to the Director of External Business Relations	Director of Regulatory Guidance	
4.19	Instruments concerning deployment of veterinarians to provide services to external entities.	CBO	Vice Dean for Administration and Finance	Senior Director of Business and Regulatory Affairs, the Director of External Business Relations or the Director of Regulatory Guidance		
4.20	Instruments related to the Willed Body Program.	CBO	Vice Dean for Administration and Finance	Director of Regulatory Policy	None	
4.21	Instruments related to clinical training provided by School of Medicine faculty in a UW Medicine entity	CBO	Vice Dean for Administration and Finance			Authority is joint with Department Chair, and UWMC or HMC CEO.
4.22	Instruments for a Telehealth Services Agreement with individual clinical departments.	CBO	Vice Dean for Administration and Finance			Authority is joint with Department Chair.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
5	Delegations to UW Medicine Chief Medical Officer/Vice President for Medical Affairs, UW (“CMO”)					
5.1	Instruments for School practitioner clinical services with arms-length external parties (for example, clinical services, medical direction) less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	CMO	Vice Dean for Administration and Finance and Department Chair	None	None	
5.2	Instruments related to the authority to reduce, demote, layoff, place on home assignment, revert during trial service, reject during probation, or reassign, separate or terminate any UW classified or professional non-academic employee in the business units that report to the UW Medicine Chief Medical Officer’s office.	CMO (except for the CMO individually)	Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance	SoM Chief Financial Officer	SoM Director of Business and Operations Management	Note: Authority to place on home assignment resides with the UW Vice President of HR.
5.3	Instruments related to the approval of requests to practice medicine at sites outside UW Medicine from UWP and CUMG members.	CMO (except for the CMO individually)				Note: For CUMG, authority is joint with the SCH CEO.
5.4	Instruments related to the approval of exceptions to non-competition policies.	CMO	Vice Dean for Administration and Finance	Director of Personnel Policy, SoM	Director of Clinical Services Projects, SoM	Note: Though signature authority is delegated, decision-making is collaborative.
5.5	Instruments nominating individuals for limited teaching and research licensure in the state of Washington pursuant to RCW 18.71.095(4).	CMO	None			

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
6	Delegations to UW Medicine Chief Advancement Officer/Vice President for Medical Affairs, UW ("CAO")					
6.1	Instruments related to UW Medicine advancement activities that are not otherwise delegated to a University official.	CAO	None	None	None	
6.2	Instruments related to the authority to reduce, demote, layoff, place on home assignment, revert during trial service, reject during probation, or reassign, separate, or terminate any UW classified or professional non-academic employee in the business units that report to the CAO, including UW Medicine Advancement.	CAO (except the CAO individually)	Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance	SoM Chief Financial Officer	SoM Director of Business and Operations Management	Note: Authority to order home assignment resides with the UW Vice President of HR.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
7	Delegations to Vice Deans and Others					
7.1	Instruments related to SoM undergraduate medical education less than \$15M and within approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Academic, Rural and Regional Affairs	None	None	None	Note: This includes MEDEX and programs in the Department of Rehabilitation Medicine such as prosthetics.
7.2	Instruments related to School undergraduate medical education less than \$15M and outside of approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Academic, Rural and Regional Affairs and Chief Financial Officer, SoM	None	None	None	
7.3	Instruments related to continuing medical education less than under \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean Academic, Rural and Regional Affairs	Director of CME office	None	None	
7.4	Five State WWAMI Compacts less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	None	None	None	None	
7.5	Instruments related to academic, rural and regional affairs less than \$15M and within approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Academic, Rural and Regional Affairs and the Vice Dean for Graduate Medical Education	None	None	None	

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
7	Delegations to Vice Deans and Others					
7.6	Instruments related to academic, rural and regional affairs less than \$15M and outside approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Academic, Rural and Regional Affairs, Vice Dean for Graduate Medical Education and the Chief Financial Officer, SoM	None	None	None	
7.7	Instruments related to School graduate medical education less than \$15M and within approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Graduate Medical Education	None	None	None	
7.8	Instruments related to School graduate medical education less than \$15M and outside approved budget. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Vice Dean for Graduate Medical Education and Chief Financial Officer, SoM	None	None	None	
7.9	Instruments related to the non-clinical direct sale of goods and services under APS 59.5 within SoM.	Vice Dean for Research and Graduate Education	Vice Dean for Administration and Finance	Senior Director for Business and Regulatory Affairs	Director of External Business Relations who further delegates to the Director of Regulatory Guidance	

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
7	Delegations to Vice Deans and Others					
7.10	Instruments related to the direct transfer of human biologic materials, including material transfer agreements in furtherance of the SoM providing biological specimen services.	Vice Dean for Research and Graduate Education	None	None	None	
7.11	Instruments related to privacy and security obligations flowing from the activities of School of Medicine faculty and staff and researchers with non-UW Medicine entities (e.g., limited data use agreements) not associated with an instrument for which the authority is delegated to another University official.	Vice Dean for Research and Graduate Education	Vice Dean for Administration and Finance	Senior Director for Business and Regulatory Affairs who delegates further to the Director of External Business Relations	Director of Regulatory Guidance	For instruments related to the sharing of clinical data for research purposes with commercial entities, authority is joint with the Senior Director of Enterprise Records & Health Information as set forth in Section 2.9.
7.12	Instruments for assignment of School space for academic departments and centers once designated as School space by CEO/EVPMA/Dean.	Vice Dean for Research and Graduate Education	None	None	None	
7.13	Instruments for the disposition of intellectual property when there are nonstandard terms in a sponsored agreement or related non-award agreement.	Vice Dean for Research and Graduate Education	None	None	None	
7.14	Instruments related to the approval or denial of requests for exceptions or variances to the UW Physicians or CUMG policy on noncompetition.	Vice Dean for Administration and Finance	Director of Personnel Policy	Director of Clinical Services Projects, SoM	None	Note: Settlement agreements related to the resolution of disputes related to the enforcement of noncompetition agreements are signed by the Vice Dean for Administration and Finance and the Executive Director of UWP.

Delegations of Authority

	CEO/EVPMA/Dean	Delegation 1	Delegation 2	Delegation 3	Delegation 4	Comments
7	Delegations to Vice Deans and Others					
7.15	Day-to-day oversight of departmental financial operations and human resources activities (excluding retained dean’s office human resource delegations) oversight, excluding capital leases and expenditures over \$500,000 regardless of funding source.	Department Chairs	Department Directors and Administrators	Division Administrators	None	
7.16	Instruments associated with the role of UW Privacy Officer are delegated from the UW President.	Chief Compliance Officer	Director, Enterprise Records & Health Information for UW Medicine PHI Director, Health Sciences Administration for non-UW Medicine PHI	None	None	
7.17	Instruments for UW Medicine strategic marketing and communication that are not otherwise delegated to a University official less than \$15M. Instruments between \$5M and less than \$15M must be reported to the Regents no less than quarterly.	Chief Marketing and Communication Officer	None	None	None	
7.18	Instruments related to the authority to reduce, demote, layoff, revert during trial service, reject during probation, or reassign, separate or terminate any UW-employed classified or professional non-academic employee in the UW Medicine Strategic Marketing and Communications Unit.	Chief Marketing and Communication Officer (except for the Chief Marketing and Communication Officer individually)	Vice Dean for Administration and Finance who delegates further to the Associate Dean for Administration and Finance	SoM Chief Financial Officer	SoM Director of Business and Operations Management	Note: Authority to order home assignment resides with the UW Vice President of HR.